

# National Elevator Industry Benefit Plans

Employer Electronic Reporting Specification

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# NATIONAL ELEVATOR INDUSTRY BENEFIT PLANS



19 CAMPUS BLVD, SUITE 200, NEWTOWN SQUARE, PA 19073-3288

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# NATIONAL ELEVATOR INDUSTRY BENEFIT PLANS



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## Employer Electronic Remittance Specification

### ***Introduction:***

The purpose of this document is to provide Employers with guidance on how to electronically submit hours, 401(k) data and PAC contributions to the Benefits Office. Beginning on 8/1/2012, two new layouts will be available for submission of the PAC contributions.

### ***Summary of Changes:***

1. New layout – PAC Contributions (Only) – This layout contains the specifications for submitting the PAC contributions in a separate file.
2. New layout – Hours and PAC Contributions – This layout contains specification for submitting the hours and PAC contributions in one file.
3. The testing period for the revised version of this specification is scheduled for 8/01/2012 – 8/30/2012. To initiate the process please follow the guidelines in the Contact Information Section of this guide.
4. Additional Job Type Codes have been added: 33 for Former Owner or Spouse of Former Owner and 34 for Supervisory or Superintendent Employee (Health Benefit Plan Only).



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## Hours Remittance

### **Design:**

The file layout is broken down into four different record types:

- File Header Record - This record identifies the employer.
- Detail Record – This record identifies each employee and provides the number of hours they worked for the given payroll period. The batch number within each record is used to group employees by local union and payroll period.
- Batch Total Record – This record totals up the employees and the hours worked for the given payroll period for a specific local union and contains the total amount due for the batch.
  - If an employer submits hours for employees from multiple local unions, a separate record will be needed for each instance.
  - If there is a rate change during the reported payroll period, two separate batches for the same local must be submitted. In this case, each batch must have a unique payroll period.
- File Trailer Record – This record totals up all of the Batch Total Records and provides a record count control.

### **Examples:**

1. Employer submitting hours for one local union.
  - Payroll Period 1/1/2008 – 1/31/2008
  - Work Period – 012008
  - Rate - \$15.10 per hour
  - 3 Employees each working a total of 160.00 constructor hours for local 0005.
    - File Header Record
    - Detail Record for Employee 1 assigned to batch 001 with 160.00 constructor hours.
    - Detail Record for Employee 2 assigned to batch 001 with 160.00 constructor hours.
    - Detail Record for Employee 3 assigned to batch 001 with 160.00 constructor hours.
    - Batch Total Record for batch 001, Union Local Number 0005, with Payroll End Date of 1/31/2008, Payroll Begin Date of 1/1/2008, Work Period of 01/2008, 480.00 constructor hours, 7,248.00 total dollar amount due and a Record count of 3 Detail Records.
    - File Trailer Record with 480.00 constructor hours, and a Record count of 3 Detail Records.



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2. Employer submitting hours for multiple union locals.
  - Payroll Period 1/1/2008 – 1/31/2008
  - Work Period – 012008
  - Rate - \$15.10 per hour
  - 3 Employees each working a total of 160.00 constructor hours for local 0005.
  - 3 Employees each working a total of 160.00 constructor hours for local 0001.
    - File Header Record
    - Detail Record for Employee 1 assigned to batch 001 with 160.00 constructor hours.
    - Detail Record for Employee 2 assigned to batch 001 with 160.00 constructor hours.
    - Detail Record for Employee 3 assigned to batch 001 with 160.00 constructor hours.
    - Batch Total Record for batch 001, Union Local Number 0005, with Payroll End Date of 1/31/2008, Payroll Begin Date of 1/1/2008, Work Period of 01/2008, 480.00 constructor hours, 7,248.00 total dollar amount due and a Record count of 3 Detail Records.
    - Detail Record for Employee 1 assigned to batch 002 with 160.00 constructor hours.
    - Detail Record for Employee 2 assigned to batch 002 with 160.00 constructor hours.
    - Detail Record for Employee 3 assigned to batch 002 with 160.00 constructor hours.
    - Batch Total Record for batch 002, Union Local Number 0001, with Payroll End Date of 1/31/2008, Payroll Begin Date of 1/1/2008, Work Period of 01/2008, 480.00 constructor hours, 7,248.00 total dollar amount and a Record count of 3 Detail Records.
    - File Trailer Record with 960.00 constructor hours, 14,496.00 total dollar amount due and a Record count of 6 Detail Records.
3. Employer submitting hours for one union local during a split rate payroll period.
  - Payroll Period 1/1/2008 – 1/15/2008/ 1/16/2008 – 1/31/2008
  - Work Period – 012008
  - Rate 1/1/2008 – 1/15/2008 - \$15.10
  - Rate 1/16/2008 – 1/31/2008 - \$16.50
  - 3 Employees each working a total of 80.00 constructor hours for local 0005 from 1/1/2008 – 1/15/2008.
  - 3 Employees each working a total of 80.00 constructor hours for local 0005 from 1/16/2008 – 1/31/2008.
    - File Header Record
    - Detail Record for Employee 1 assigned to batch 001 with 80.00 constructor hours.



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- Detail Record for Employee 2 assigned to batch 001 with 80.00 constructor hours.
- Detail Record for Employee 3 assigned to batch 001 with 80.00 constructor hours.
- Batch Total Record for batch 001, Union Local Number 0005, with Payroll End Date of 1/15/2008, Payroll Begin Date of 1/1/2008, Work Period of 01/2008, 240.00 constructor hours, 3,624.00 total dollar amount due and a Record count of 3 Detail Records.
- Detail Record for Employee 1 assigned to batch 002 with 80.00 constructor hours.
- Detail Record for Employee 2 assigned to batch 002 with 80.00 constructor hours.
- Detail Record for Employee 3 assigned to batch 002 with 80.00 constructor hours.
- Batch Total Record for batch 002, Union Local Number 0005, with Payroll End Date of 1/31/2008, Payroll Begin Date of 1/16/2008, Work Period of 01/2008, 240.00 constructor hours, 3,960.00 total dollar amount due and a Record count of 3 Detail Records.
- File Trailer Record with 480.00 constructor hours, and a Record count of 6 Detail Records.

### ***Limitations:***

Negative hours are not accommodated through this interface. Adjustments must be submitted on a manual report.



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## **Hours File Layout:**

### *File Header Record*

Field Name	Length	Start Pos	End Pos	Values	Comments
Batch Number	3	1	3	000	Constant value of "000"
Record Type	1	4	4	1	Constant value of "1"
IRS Number	9	5	13		Company Number assigned by the IRS.
Filler	2	14	15		Blank Fill
Plan	2	16	17	01	Constant Value of "01"
Company Name	35	18	52		Employers Official Name
Filler	64	53	116		Blank Fill

### *Detail Record*

Field Name	Length	Start Pos	End Pos	Values	Comments
Batch Number	3	1	3		Sequential number between 001 and 500.
Record Type	1	4	4	4	Constant value of "4"
Social Security Number	9	5	13		
Filler	2	14	15		Blank Fill
Constructor Hours	5	16	20		
Probationary Hours	5	21	25		
Unused Hours Field	5	26	30		Zero Fill
Vacation Hours	5	31	35		
Employer Comment Code	2	36	37		See Comment Code table.
Employee Name	35	38	72		Last Name - pos 1 - 20, First Name - pos 21 - 30, MI - pos 31
Job Type	2	73	74		See Job Type Code Table
Job Type Effective Date	8	75	82		MMDDYYYY
Filler	34	83	116		Zero Fill



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### *Batch Total Record*

Field Name	Length	Start Pos	End Pos	Values	Comments
Batch Number	3	1	3		Represents the batch number designated in the preceding Detail Records.
Record Type	1	4	4	6	Constant value of "6"
Union Local Number	4	5	8		e.g. Local 1 = 0001
Branch Number	3	9	11	000	A Constant value of "000" is required unless authorized for special use by the Benefits Office.
Constructor Hours	9	12	20		Total constructor hours for the batch.
Probationary Hours	9	21	29		Total probationary hours for the batch.
Unused Hours Field	9	30	38		Zero Fill
Vacation Hours	9	39	47		Total vacation hours for the batch.
Amount	9	48	56		The total dollar amount due for the batch. It is the total rate times the total hours.
Record Count	5	57	61		
Payroll End Date	8	62	69		MMDDYYYY
Payroll Begin Date	8	70	77		MMDDYYYY
Work Period	6	78	83		MMYYYY
Filler	33	84	116		Zero Fill

### *File Trailer Record*

Field Name	Length	Start Pos	End Pos	Values	Comments
Batch Number	3	1	3	999	Constant value of "999"
Record Type	1	4	4	9	Constant value of "9"
Filler	7	5	11		Blank Fill
Constructor Hours	9	12	20		
Probationary Hours	9	21	29		
Unused Hours Field	9	30	38		Zero Fill
Vacation Hours	9	39	47		
Amount	9	48	56		
Record Count	5	57	61		
Filler	55	62	116		Zero Fill





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### *Job Type Code Table*

Job Type Code	Description
10	Mechanic in Charge
11	Mechanic/Journeyman (Including Adjustors, Local Representatives, and Temporary Mechanics)
12	Apprentice (Including 1st, 2nd, 3rd, and 4th year)
13	Helper
14	Probationary
20	Owner
30	Supervisor "Grandfathered Employee"
31	Supervisor During Trial 6 Month Period (this can only be elected once during any 36 month period)
32	Supervisor Pension Only (Pension Plan Alumni Election)
33	Former Owner or Spouse of Former Owner
34	Supervisory or Superintendent Employee (Health Benefit Plan Only)
40	I.U.E.C. Local - Union Officer (full-time)
41	I.U.E.C. Local - Office Staff
42	I.U.E.C. Local - Part -Time Union Officer, Bargaining Unit Alumni of the Industry
43	Office Employee for all Non-I.U.E.C. Local Offices (Including Elev. Ind. Work Preservation Fd, Intl Union of Elev. Constr., Local 1 Educ. & Appr., Tr Fd, Natl Elev Ind. Education Program, NEIBP, Washington Bldg & Constr.)

### *Comment Code Table*

Comment Code	Description
17	Laid off or quit
11	Management employee
12	Leave of absence
09	Deceased
15	Disability on the job
16	Disability off the job
18	Military service
20	Retired
21	Quit industry
22	New hire into industry
23	Transfer out



# NATIONAL ELEVATOR INDUSTRY BENEFIT PLANS



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## ***Important Field Information:***

### Hours Fields:

- At least one or more of these fields must be populated for each detail record.
- Hours may be reported in 15 minute increments consisting of .00, .25, .50 and .75.
- The last two positions of all Hours fields are used to designate the increment factor.
- This data must be right-justified with leading characters zero-filled (e.g. 00150). This example reflects how 1.5 hours would be submitted.
- Hour fields with no data must be zero filled.

Union Local Number: This data must be right-justified with leading characters zero-filled (e.g. 0002). This example reflects how local 2 would be formatted.

### Amount Fields:

- The last two positions of all amount fields are used to designate cents.
- This data must be right-justified with leading characters zero-filled (e.g. 09961). This example reflects how 99.61 would be submitted.

Record Count Fields: This data must be right-justified with leading characters zero-filled (e.g. 00055). This example reflects how 55 would be submitted.



# NATIONAL ELEVATOR INDUSTRY

## BENEFIT PLANS



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### 401(K) Remittance

#### **Design:**

The file layout is broken down into four different record types:

- File Header Record - This record identifies the employer.
- Detail Record – This record identifies each employee and provides their compensation amount along with their salary deferral for the given payroll period. The batch number within each record is used to group employees by local union and payroll period.
- Batch Total Record – This record totals up the employees and the compensation amount and the salary deferrals for the given payroll period for a specific local union.
  - If an employer submits hours for employees from multiple local unions, a separate record will be needed for each instance.
- File Trailer Record – This record totals up all of the Batch Total Records and provides a record count control.

#### **Examples:**

1. Employer submitting deferrals for one local union.
  - Payroll Period 1/6/2008 – 1/12/2008
  - Work Period – 012008
  - 3 Employees each with compensation of 1,000.00, 1,500.00 and 2,000.00.
  - 3 Employees each with salary deferrals of 200.00, 150.00 and 100.00.
    - File Header Record
    - Detail Record for Employee 1 assigned to batch 001 with compensation of 1,000.00 and 200.00 salary deferral.
    - Detail Record for Employee 2 assigned to batch 001 with compensation of 1,500.00 and 150.00 salary deferral.
    - Detail Record for Employee 3 assigned to batch 001 with compensation of 2,000.00 and 100.00 salary deferral.
    - Batch Total Record for batch 001, Union Local Number 0005, with Payroll End Date of 1/12/2008, Payroll Begin Date of 1/6/2008, Work Period of 01/2008, 4,500 compensation total, 450.00 salary deferral total, and a Record count of 3 Detail Records.
    - File Trailer Record with 4,500 compensation total, 450.00 salary deferral totals, and a Record count of 3 Detail Records.
2. Employer submitting deferrals for multiple union locals.
  - Payroll Period 1/6/2008 – 1/12/2008
  - Work Period – 012008



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- 3 Employees each with compensation of 1,000.00, 1,000.00 and 1,500.00 for local 5
- and 3 employees each with compensation of 1,000.00, 1,500.00 and 2,000.00 for local 2.
- 3 Employees each with salary deferrals of 200.00, 150.00 and 100.00 for local 5 and 3 employees each with salary deferrals of 200.00, 150.00 and 100.00 for local 2.
  - File Header Record
  - Detail Record for Employee 1 assigned to batch 001 with compensation of 1,000.00 and 200.00 salary deferral.
  - Detail Record for Employee 2 assigned to batch 001 with compensation of 1,000.00 and 150.00 salary deferral.
  - Detail Record for Employee 3 assigned to batch 001 with compensation of 1,500.00 and 100.00 salary deferral.
  - Batch Total Record for batch 001, Union Local Number 0005, with Payroll End Date of 1/12/2008, Payroll Begin Date of 1/6/2008, Work Period of 01/2008, 3,500 compensation total, 450.00 salary deferral total, and a Record count of 3 Detail Records.
  - Detail Record for Employee 1 assigned to batch 002 with compensation of 1,000.00 and 200.00 salary deferral.
  - Detail Record for Employee 2 assigned to batch 002 with compensation of 1,500.00 and 150.00 salary deferral.
  - Detail Record for Employee 3 assigned to batch 002 with compensation of 2,000.00 and 100.00 salary deferral.
  - Batch Total Record for batch 002, Union Local Number 0002, with Payroll End Date of 1/12/2008, Payroll Begin Date of 1/6/2008, Work Period of 01/2008, 4,500 compensation total, 450.00 salary deferral total, and a Record count of 3 Detail Records.
  - File Trailer Record with 8,000 compensation totals, 900.00 salary deferral totals, and a Record count of 6 Detail Records.

### ***Limitations:***

Negative deferral amounts are not accommodated through this interface. Adjustments must be submitted on a manual report.



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## 401(k) File Layout:

### *File Header Record*

Field Name	Length	Start Pos	End Pos	Values	Comments
Batch Number	3	1	3	000	Constant value of "000"
Record Type	1	4	4	1	Constant value of "1"
IRS Number	9	5	13		Company Number assigned by the IRS.
Filler	2	14	15		Blank Fill
Plan	2	16	17	01	Constant Value of "01"
Company Name	35	18	52		Employers Official Name
Vacation File	1	53	53		Y if yes, Blank if no
Filler	63	54	116		Blank Fill

### *Detail Record*

Field Name	Length	Start Pos	End Pos	Values	Comments
Batch Number	3	1	3		Sequential number between 001 and 500.
Record Type	1	4	4	4	Constant value of "4"
Social Security Number	9	5	13		
Filler	2	14	15		
Unused	5	16	20		Zero Fill
Unused	5	21	25		Zero Fill
Unused	5	26	30		Zero Fill
Unused	5	31	35		Zero Fill
Employer Comment Code	2	36	37		Blank Fill
Employee Name	35	38	72		Last Name - pos 1 - 20, First Name - pos 21 - 30, MI - pos 31
Compensation Amount	11	73	83		
Salary Deferral Amount	11	84	94		
Loan Identifier	2	95	96		Zero Fill
Loan Payment Amount	11	97	107		Zero Fill
Filler	9	108	116		Zero Fill



# NATIONAL ELEVATOR INDUSTRY BENEFIT PLANS



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### *Batch Total Record*

Field Name	Length	Start Pos	End Pos	Values	Comments
Batch Number	3	1	3		Represents the batch number designated in the preceding Detail Records.
Record Type	1	4	4	6	Constant value of "6"
Union Local Number	4	5	8		e.g. Local 1 = 0001
Branch Number	3	9	11	000	A Constant value of "000" is required unless authorized for special use by the Benefits Office.
Unused Hours Field	9	12	20		Zero Fill
Unused Hours Field	9	21	29		Zero Fill
Pay Date	8	30	37		MMDDYYYY
Unused	10	38	47		Zero Fill
Unused	9	48	56		Zero Fill
Record Count	5	57	61		
Payroll End Date	8	62	69		MMDDYYYY
Payroll Begin Date	8	70	77		MMDDYYYY
Work Period	6	78	83		MMYYYY
Compensation Amount	11	84	94		
Salary Deferral Amount	11	95	105		
Loan Payment Amount	11	106	116		Zero Fill



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### *File Trailer Record*

Field Name	Length	Start Pos	End Pos	Values	Comments
Batch Number	3	1	3	999	Constant value of "999"
Record Type	1	4	4	9	Constant value of "9"
Filler	7	5	11		
Unused	9	12	20		Zero Fill
Unused	9	21	29		Zero Fill
Unused	9	30	38		Zero Fill
Unused	9	39	47		Zero Fill
Unused	9	48	56		Zero Fill
Record Count	5	57	61		
Compensation Amount	11	62	72		
Salary Deferral Amount	11	73	83		
Loan Payment Amount	11	84	94		Zero Fill
Filler	22	95	116		Zero Fill

Union Local Number: This data must be right-justified with leading characters zero-filled (e.g. 0002). This example reflects how local 2 would be formatted.

#### Amount Fields:

- The last two positions of all amount fields are used to designate cents.
- This data must be right-justified with leading characters zero-filled (e.g. 09961). This example reflects how 99.61 would be submitted.

Record Count Fields: This data must be right-justified with leading characters zero-filled (e.g. 00055). This example reflects how 55 would be submitted.

Work Period: Based on the month and year the **pay date** falls. The **pay date** is the date you pay your employees. If the pay date is January 3, 2008, the work period is 012008.



# NATIONAL ELEVATOR INDUSTRY BENEFIT PLANS



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## PAC Remittance

New monthly PAC Options - There will be two options available for submission of the monthly PAC contributions.

- Option 1 – File contains only the monthly PAC contributions.
- Option 2 – File contains both hours and monthly PAC contributions

### Option 1 – PAC Contributions

#### **Design:**

The file layout is broken down into four different record types:

- File Header Record - This record identifies the employer.
- Detail Record – This record identifies each employee and provides their compensation amount along with their salary deferral for the given payroll period. The batch number within each record is used to group employees by local union and payroll period.
- Batch Total Record – This record totals up the employees and the compensation amount and the salary deferrals for the given payroll period for a specific local union.
  - If an employer submits hours for employees from multiple local unions, a separate record will be needed for each instance.
- File Trailer Record – This record totals up all of the Batch Total Records and provides a record count control.

#### **Examples:**

1. Employer submitting PAC contributions for one local union.
  - Payroll Period 1/1/2012 – 1/31/2012
  - Work Period – 012012
  - 3 Employees each with contributions of 200.00, 150.00 and 100.00.
    - File Header Record
    - Detail Record for Employee 1 assigned to batch 001 with contribution of 200.00.
    - Detail Record for Employee 2 assigned to batch 001 with contribution of 150.00.
    - Detail Record for Employee 3 assigned to batch 001 with contribution of 100.00.
    - Batch Total Record for batch 001, Union Local Number 0005, with Payroll End Date of 1/31/2012, Payroll Begin Date of 1/1/2012, Work Period of 01/2012, 450.00 contribution total, and a Record count of 3 Detail Records.





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- File Trailer Record 450.00 contribution total, and a Record count of 3 Detail Records.
  
- 2. Employer submitting PAC deferrals for multiple union locals.
  - Payroll Period 1/1/2012 – 1/31/2012
  - Work Period – 012012
  - 3 Employees each with contributions of 200.00, 150.00 and 100.00 for local 5 and 3 employees each with contributions of 200.00,150.00 and 100.00 for local 2.
    - File Header Record
    - Detail Record for Employee 1 assigned to batch 001 with 200.00 contribution.
    - Detail Record for Employee 2 assigned to batch 001 with 150.00 contribution.
    - Detail Record for Employee 3 assigned to batch 001 with 100.00 contribution.
    - Batch Total Record for batch 001, Union Local Number 0005, with Payroll End Date of 1/31/2012, Payroll Begin Date of 1/1/2012, Work Period of 01/2012, 450.00 contribution total, and a Record count of 3 Detail Records.
    - Detail Record for Employee 1 assigned to batch 002 with 200.00 contribution.
    - Detail Record for Employee 2 assigned to batch 002 with 150.00 contribution.
    - Detail Record for Employee 3 assigned to batch 002 with 100.00 contribution.
    - Batch Total Record for batch 002, Union Local Number 0002, with Payroll End Date of 1/31/2012, Payroll Begin Date of 1/1/2012, Work Period of 01/2012, 450.00 contribution total, and a Record count of 3 Detail Records.
    - File Trailer Record with 900.00 contribution totals, and a Record count of 6 Detail Records.

### ***Limitations:***

Negative PAC amounts are not accommodated through this interface. Adjustments must be submitted on a manual report.

### ***PAC Contributions File Layout :***

#### ***File Header Record***



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Field Name	Length	Start Pos	End Pos	Values	Comments
Batch Number	3	1	3	000	Constant value of "000"
Record Type	1	4	4	1	Constant value of "1"
IRS Number	9	5	13		Company Number assigned by the IRS.
Filler	2	14	15		Blank Fill
Plan	2	16	17	01	Constant Value of "01"
Company Name	35	18	52		Employers Official Name
Vacation File	1	53	53		Blank Fill
Filler	63	54	116		Blank Fill

### *Detail Record*

Field Name	Length	Start Pos	End Pos	Values	Comments
Batch Number	3	1	3		Sequential number between 001 and 500.
Record Type	1	4	4	4	Constant value of "4"
Social Security Number	9	5	13		
Filler	2	14	15		
Unused	5	16	20		Zero Fill
Unused	5	21	25		Zero Fill
Unused	5	26	30		Zero Fill
Unused	5	31	35		Zero Fill
Employer Comment Code	2	36	37		Blank Fill
Employee Name	35	38	72		Last Name - pos 1 - 20, First Name - pos 21 - 30, MI - pos 31
Unused	11	73	83		Zero Fill
PAC Contributions	11	84	94		
Unused	2	95	96		Zero Fill
Unused	11	97	107		Zero Fill
Filler	9	108	116		Zero Fill



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### *Batch Total Record*

Field Name	Length	Start Pos	End Pos	Values	Comments
Batch Number	3	1	3		Represents the batch number designated in the preceding Detail Records.
Record Type	1	4	4	6	Constant value of "6"
Union Local Number	4	5	8		e.g. Local 1 = 0001
Branch Number	3	9	11	000	A Constant value of "000" is required unless authorized for special use by the Benefits Office.
Unused Hours Field	9	12	20		Zero Fill
Unused Hours Field	9	21	29		Zero Fill
Pay Date	8	30	37		MMDDYYYY
Unused	10	38	47		Zero Fill
Unused	9	48	56		Zero Fill
Record Count	5	57	61		
Payroll End Date	8	62	69		MMDDYYYY
Payroll Begin Date	8	70	77		MMDDYYYY
Work Period	6	78	83		MMYYYY
Unused	11	84	94		Zero Fill
PAC Contributions	11	95	105		
Unused	11	106	116		Zero Fill



# NATIONAL ELEVATOR INDUSTRY BENEFIT PLANS



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### *File Trailer Record*

Field Name	Length	Start Pos	End Pos	Values	Comments
Batch Number	3	1	3	999	Constant value of "999"
Record Type	1	4	4	9	Constant value of "9"
Filler	7	5	11		
Unused	9	12	20		Zero Fill
Unused	9	21	29		Zero Fill
Unused	9	30	38		Zero Fill
Unused	9	39	47		Zero Fill
Unused	9	48	56		Zero Fill
Record Count	5	57	61		
Unused	11	62	72		Zero Fill
PAC Contributions	11	73	83		
Unused	11	84	94		Zero Fill
Filler	22	95	116		Zero Fill



# NATIONAL ELEVATOR INDUSTRY BENEFIT PLANS



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## Option 2 - PAC Remittance with Hours

### **Design:**

The file layout is broken down into four different record types:

- File Header Record - This record identifies the employer.
- Detail Record – This record identifies each employee and provides the number of hours they worked for a given payroll period and their PAC contribution amount for the given payroll period. The batch number within each record is used to group employees by local union and payroll period.
- Batch Total Record – This record totals up the employees and the hours worked and the PAC contributions amount for the given payroll period for a specific local union.
  - If an employer submits hours for employees from multiple local unions, a separate record will be needed for each instance.
- File Trailer Record – This record totals up all of the Batch Total Records and provides a record count control.

### **Examples:**

1. Employer submitting PAC contributions for one local union.
  - Payroll Period 1/1/2012 – 1/31/2012
  - Work Period – 012012
  - Rate - \$15.10 per hour
  - 3 Employees each working a total of 160.00 constructor hours for local 0005 with contributions of 200.00, 150.00 and 100.00.
    - File Header Record
    - Detail Record for Employee 1 assigned to batch 001 with 160.00 constructor hours and PAC contributions of 200.00.
    - Detail Record for Employee 2 assigned to batch 001 with 160.00 constructor hours and PAC contributions of 150.00.
    - Detail Record for Employee 3 assigned to batch 001 with 160.00 constructor hours and PAC contribution of 100.00.
    - Batch Total Record for batch 001, Union Local Number 0005, with Payroll End Date of 1/31/2012, Payroll Begin Date of 1/1/2012, Work Period of 01/2012, 480.00 constructor hours, 7,248.00 total dollar amount due, 450.00 PAC contribution total, and a Record count of 3 Detail Records.
    - File Trailer Record with 480.00 constructor hours, 450.00 PAC contribution total, and a Record count of 3 Detail Records.
2. Employer submitting hours and PAC contributions for multiple union locals.
  - Payroll Period 1/1/2012 – 1/31/2012



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- Work Period – 012012
- Rate - \$15.10 per hour
- 3 Employees each working a total of 160.00 constructor hours and with PAC contributions of 200.00, 150.00 and 100.00 for local 0005
- 3 employees each working a total of 160.00 constructor hours with PAC contributions of 200.00, 150.00 and 100.00 for local 0001
  - File Header Record
  - Detail Record for Employee 1 assigned to batch 001 with 160.00 constructor hours and 200.00 PAC contributions.
  - Detail Record for Employee 2 assigned to batch 001 with 160.00 constructor hours and 150.00 PAC contributions.
  - Detail Record for Employee 3 assigned to batch 001 with 160.00 constructor hours and 100.00 PAC contributions.
  - Batch Total Record for batch 001, Union Local Number 0005, with Payroll End Date of 1/31/2012, Payroll Begin Date of 1/1/2012, Work Period of 01/2012, 480.00 constructor hours, 7,248.00 total dollar amount due, 450.00 PAC contribution total, and a Record count of 3 Detail Records.
  - Detail Record for Employee 1 assigned to batch 002 with 160.00 constructor hours and with 200.00 PAC contributions.
  - Detail Record for Employee 2 assigned to batch 002 with 160.00 constructor hours and 150.00 PAC contributions.
  - Detail Record for Employee 3 assigned to batch 002 with 160.00 constructor hours and 100.00 PAC contributions.
  - Batch Total Record for batch 002, Union Local Number 0002, with Payroll End Date of 1/31/2012, Payroll Begin Date of 1/1/2012, Work Period of 01/2012, 480.00 constructor hours, 7,248.00 total dollar amount due, 450.00 PAC contribution total, and a Record count of 3 Detail Records.
  - File Trailer Record with 960.00 constructor hours and 900.00 PAC contribution totals, and a Record count of 6 Detail Records.

### ***Limitations:***

Negative PAC amounts are not accommodated through this interface. Adjustments must be submitted on a manual report.

### ***Hours and PAC Contributions File Layout:***



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### *File Header Record*

Field Name	Length	Start Pos	End Pos	Values	Comments
Batch Number	3	1	3	000	Constant value of "000"
Record Type	1	4	4	1	Constant value of "1"
IRS Number	9	5	13		Company Number assigned by the IRS.
Filler	2	14	15		Blank Fill
Plan	2	16	17	01	Constant Value of "01"
Company Name	35	18	52		Employers Official Name
Filler	64	53	116		Blank Fill

### *Detail Record*

Field Name	Length	Start Pos	End Pos	Values	Comments
Batch Number	3	1	3		Sequential number between 001 and 500.
Record Type	1	4	4	4	Constant value of "4"
Social Security Number	9	5	13		
Filler	2	14	15		Blank Fill
Constructor Hours	5	16	20		
Probationary Hours	5	21	25		
Unused Hours Field	5	26	30		Zero Fill
Vacation Hours	5	31	35		
Employer Comment Code	2	36	37		See Comment Code table.
Employee Name	35	38	72		Last Name - pos 1 - 20, First Name - pos 21 - 30, MI - pos 31
Job Type	2	73	74		See Job Type Code Table
Job Type Effective Date	8	75	82		MMDDYYYY
Unused	1	83	83		Zero Fill
PAC Contributions	11	84	94		
Filler	22	95	116		Zero Fill



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### *Batch Total Record*

Field Name	Length	Start Pos	End Pos	Values	Comments
Batch Number	3	1	3		Represents the batch number designated in the preceding Detail Records.
Record Type	1	4	4	6	Constant value of "6"
Union Local Number	4	5	8		e.g. Local 1 = 0001
Branch Number	3	9	11	000	A Constant value of "000" is required unless authorized for special use by the Benefits Office.
Constructor Hours	9	12	20		Total constructor hours for the batch.
Probationary Hours	9	21	29		Total probationary hours for the batch.
Unused Hours Field	9	30	38		Zero Fill
Vacation Hours	9	39	47		Total vacation hours for the batch.
Amount	9	48	56		The total dollar amount due for the batch. It is the total rate times the total hours.
Record Count	5	57	61		
Payroll End Date	8	62	69		MMDDYYYY
Payroll Begin Date	8	70	77		MMDDYYYY
Work Period	6	78	83		MMYYYY
Unused	11	84	94		Zero Fill
PAC Contributions	11	95	105		
Filler	11	106	116		Zero Fill

### *File Trailer Record*





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Field Name	Length	Start Pos	End Pos	Values	Comments
Batch Number	3	1	3	999	Constant value of "999"
Record Type	1	4	4	9	Constant value of "9"
Unused	7	5	11		Blank Fill
Constructor Hours	9	12	20		
Probationary Hours	9	21	29		
Unused Hours Field	9	30	38		Zero Fill
Vacation Hours	9	39	47		
Amount	9	48	56		
Record Count	5	57	61		
Unused	11	62	72		Zero Fill
PAC Contributions	11	73	83		
Filler	33	84	116		Zero Fill

### Job Type Code Table

Job Type Code	Description
10	Mechanic in Charge
11	Mechanic/Journeyman (Including Adjustors, Local Representatives, and Temporary Mechanics)
12	Apprentice (Including 1st, 2nd, 3rd, and 4th year)
13	Helper
14	Probationary
20	Owner
30	Supervisor "Grandfathered Employee"
31	Supervisor During Trial 6 Month Period (this can only be elected once during any 36 month period)
32	Supervisor Pension Only (Pension Plan Alumni Election)
33	Former Owner or Spouse of Former Owner
34	Supervisory or Superintendent Employee (Health Benefit Plan Only)
40	I.U.E.C. Local - Union Officer (full-time)
41	I.U.E.C. Local - Office Staff
42	I.U.E.C. Local - Part -Time Union Officer, Bargaining Unit Alumni of the Industry
43	Office Employee for all Non-I.U.E.C. Local Offices (Including Elev. Ind. Work Preservation Fd, Intl Union of Elev. Constr., Local 1 Educ. & Appr., Tr Fd, Natl Elev Ind. Education Program, NEIBP, Washington Bldg & Constr.)



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### ***Comment Code Table***

Comment Code	Description
17	Laid off or quit
11	Management employee
12	Leave of absence
09	Deceased
15	Disability on the job
16	Disability off the job
18	Military service
20	Retired
21	Quit industry
22	New hire into industry
23	Transfer out

### ***Important Field Information:***

#### Hours Fields:

- At least one or more of these fields must be populated for each detail record.
- Hours may be reported in 15 minute increments consisting of .00, .25, .50 and .75.
- The last two positions of all Hours fields are used to designate the increment factor.
- This data must be right-justified with leading characters zero-filled (e.g. 00150). This example reflects how 1.5 hours would be submitted.
- Hour fields with no data must be zero filled.

Union Local Number: This data must be right-justified with leading characters zero-filled (e.g. 0002). This example reflects how local 2 would be formatted.

#### Amount Fields:

- The last two positions of all amount fields are used to designate cents.
- This data must be right-justified with leading characters zero-filled (e.g. 09961). This example reflects how 99.61 would be submitted.

Record Count Fields: This data must be right-justified with leading characters zero-filled (e.g. 00055). This example reflects how 55 would be submitted.



# NATIONAL ELEVATOR INDUSTRY BENEFIT PLANS



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## Electronic File Transfer Options

### ***FTP Options:***

- Pretty Good Privacy (PGP).
- Virtual Private Network (VPN)

## Contact Information

To initiate the process, please contact the Data Operations Group at the Benefits Office at 800-523-4702 ext. 2225. A representative will schedule a conference call to review the specification.